



# Supporting Documents

■ SUPERFUND GREEN, L.P.  
*(Important required reading)*

**SUPERFUND**  
THE FUTURE OF INVESTING

# USA Patriot Act

## ■ IMPORTANT: REQUIRED MATERIAL – PLEASE READ

*Thank you in advance for your cooperation and support.*

The USA PATRIOT Act, (Uniting and Strengthening America by Providing Appropriate Tools Required to Intercept and Obstruct Terrorism Act), was signed into law on October 26, 2001. Since then, the Department of Treasury issued the final regulation for Customer Identification Programs for Financial Institutions. As a broker-dealer, Superfund USA, Inc. is required to establish several new procedures to detect and report suspicious transactions and ensure compliance with the Act. In addition to designating a special compliance officer, employee training, and independent audits, Superfund must obtain either a copy of a driver's license or a valid government issued identification card. In addition to this, Superfund's database will be compared to a list provided by the Office of Foreign Assets Control ("OFAC") of the Treasury Department.

**In summary, please provide a copy of a driver's license or valid government issued ID with your new account forms.**

## Wire Instructions

### ■ FOR SUPERFUND GREEN, L.P. SERIES A & SERIES B

*If you choose to wire your payment, please set up a wire as follows:*

**Wire to Superfund Green, L.P.'s escrow agent at:**

#### ■ Series A

HSBC BANK USA  
ABA# 021 001 088  
Account Name: ISSUER SERVICES  
Account Number: 002 600 161  
For further credit to: Superfund Green, L.P.  
Series A  
Ref. Nr. 108 77 854

#### ■ Series B

HSBC BANK USA  
ABA# 021 001 088  
Account Name: ISSUER SERVICES  
Account Number: 002 600 161  
For further credit to: Superfund Green, L.P.  
Series B  
Ref. Nr. 108 77 856

**Please send wire to Account Name CORPORATE TRUST and reference Superfund Green, L.P. Wires without a reference to Superfund Green, L.P. will be rejected and returned.**

HSBC is the escrow agent of Superfund Green, L.P. and will hold your investment until the cut-off-date, the date of your effective investment. Please note that HSBC's escrow account is non-interest bearing. Please make sure the amount of money received by Superfund is net and equals the amount stated on the subscription agreement.

**If you choose to write a check, please make the check payable to Superfund Green, L.P. Series A or Series B.**

**If you have any questions please do not hesitate to call Superfund's Fund Administration department in New York at (212) 750 6300.**

# Confidentiality and Security

## ■ CONFIDENTIALITY AND SECURITY

### *Please Read*

We maintain physical, electronic and procedural safeguards to guard your personal account information. We also restrict access to your personal and financial data to authorized Superfund USA associates who have a need for these records. We require all nonaffiliated organizations to conform to our privacy standards and they are contractually obligated to keep the information provided confidential and used as requested. Furthermore, we will continue to adhere to the privacy policies and practices described in this notice even after your account is closed or becomes inactive.

We will continue to conduct our business in a manner that conforms with our pledge to you, your expectations and all applicable laws.

## ■ SUPERFUND CAPITAL MANAGEMENT, INC.

### *Privacy Policy*

Superfund Capital Management, Inc. collects nonpublic personal information about its clients from the following sources:

### ■ A. Collection of Nonpublic Personal Information

- Information we receive from you on subscription agreements; or other forms, whether written or electronic. This information may include, but is not limited to, your name, address, social security number, and information about your level of income, net worth and investment experience.
- Information about your transactions with us and our affiliates or others. This information could include your use of various products or services that we and our affiliates provide.

### ■ B. Disclosure of Information

Superfund Capital Management, Inc. does not disclose nonpublic personal information to non-affiliated parties except in limited circumstances, such as when required by law or when necessary to process a transaction or provide a service to the customer.

### ■ C. Disclosures Permitted by Law

We may disclose all the personal information we collect as permitted or required by law. For example, we may disclose information to law enforcement agencies or regulatory authorities (such as the CFTC or NFA).

### ■ D. No Disclosure for Other Purposes

We will not share any personal information we collect with outside companies, except as explained above. We will not sell personal information to outside companies that may wish to market their products or services to you on behalf of such outside companies.

### ■ E. Annual Notifications

If you are a current customer, our fund administrator will provide an annual Privacy Statement to our investors. Once a person is no longer a customer, we will continue to follow our Privacy Policy and practices, but you will not receive future notices from us.

### ■ F. Security Procedures

We maintain procedures and technology designed to prevent unauthorized access to personal information. We maintain physical, electronic, and procedural protections in accordance with applicable standards to protect personal information. We restrict access to personal information to employees and service providers for legitimate business purposes to assist in providing products or services to you. Employees who violate our Privacy Policy are subject to disciplinary action.

# SIPC Information



Please be advised that Superfund USA, Inc. is a member of the Securities Investor Protection Corporation (SIPC) and advises all customers that they may obtain information about the SIPC, including the SIPC brochure, by contacting the SIPC at (202) 371-8300 or [www.sipc.org](http://www.sipc.org).

## ■ SUPERFUND USA, INC.

### *Privacy Policy*

When you use Superfund USA as your broker-dealer, you entrust us not only with your hard-earned assets but also with your personal and financial data. We consider your data to be private and confidential, and we hold ourselves to the highest standards of trust in their safekeeping and use.

We collect nonpublic personal information about Superfund USA clients such as you, from the following sources:

- Information we receive from you on applications or other forms;
- Information about your transactions with us, our affiliates, or others;
- If you visit our Website, information we collect via a Web server, often referred to as a “cookie”. Cookies indicate where a site visitor has been online and what has been viewed.

We do not disclose any nonpublic personal information about our customers or former customers to anyone, except as permitted by law. Moreover, we will not release information about our customers or former customers unless one of the following conditions is met:

- We receive your prior written consent;
- We believe the recipient to be you or your authorized representative;
- We are required by law to release information to the recipient.

We only use information about you and your account to help us better serve your investment needs or to suggest services or educational materials that may be of interest to you.

To further protect your privacy, our Website uses securities programs and divides which we believe to be in accordance with current business practices, including data encryption, user names and passwords, and other tools. We maintain physical, electronic and procedural safeguards to guard your personal account information. We also restrict access to your personal and financial data to authorized Superfund USA associates who have a need for these records. We advise you not to send such information to us in nonsecure e-mails.

## ■ SUPERFUND USA, INC. – FINRA MEMBER

### *New York*

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